- P30320.P05

IAP12 Rec'd PCT/PTO 10 JUL 2007

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Form PTO-1390	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER					
TRANSMITTAL LETTER TO		P30320 CONFIRMATION NO. 4690					
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371							
CONCERNING A FIERRO	0NBLR 33 0.3.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
		10/586,454					
INTERNATIONAL APPLICATION NO. PCT/JP2005/000979							
TITLE OF INVENTION MEDICAMENT FOR THERAPEUTIC TREATMENT OF PAIN							
APPLICANT(S) FOR DO/EO/US Kozo NINOMIYA and Shuzo NINOMIYA							
Applicant herewith submits to the United States	s Designated/Elected Office (DO/EO/US) the	e following items and other information.					
1. This is a FIRST submission of items of	oncerning a filing under 35 U.S.C. 371.						
2.   This is a SECOND or SUBSEQUENT	submission of items concerning a filing und	ler 35 U.S.C. 371.					
3. This is an express request to promptly (6), (9) and (23) indicated below.	begin national examination procedures (35 U	J.S.C. 371(f)). The submission must include items (5),					
4.   The US has been elected (PCT Article	31).						
<ul> <li>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))</li> <li>a. is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. has been communicated by the International Bureau.</li> <li>c. is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>							
<ul> <li>6.  An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).</li> <li>a.</li></ul>							
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a. are attached hereto (required only if not communicated by the International Bureau).							
b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made.							
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the inventor(s) (35 U.S.C371(c)(4)). "EXECUTED"							
10. ☐ An English language translation of the 371(c)(5)).	annexes to the International Preliminary Exa	amination Report under PCT Article 36 (35 U.S.C.					
Items 11 to 22 below concern other document(s) or information included:							
11. Assignee:							
12. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
13. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
14. A FIRST preliminary amendment.  A SECOND or SUBSEQUENT preliminary amendment.							
15. An Application Data Sheet under 37 C.F.R. 1.76.							
16. A substitute specification.							
17. A power of attorney and/or change of address letter.							
18.  Figure of Drawing to be published:							
19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.							
20. A second copy of the published international application under 35 U.S.C. 154 (d) (4).							
21. A second copy of the English language translation of the international of the international application under 35 U.S.C. 154 (d) (4).							
22. Other items or information:							
Cover Letter Statement to Support Filing and Submission in Accordance with 37 C.F.R. § 1.821-1.825							
Paper copy of the sequence listing (2 pa PCT/DO/EO/905							

10/586,454   TPCT/JP2005(0009799   FR3320	U.S. APPLICATION NO. (I	f known, see 37 CFR 1.5)	INTERNATIONAL APPLIC	ATION NO.	ATTORNEY'S DOC	KET NUMBER
23 ☐ The following fiest are submitted:  24 ☐ Examination Fee  If International Teelinings examination report prepared by USPTO and all claims satisfy provisions of PCT  All Other Stuatures.  200.00  25 ☐ Search Fee (37 CFR 1.455(s) (2)) has been paid on the international application to the USPTO as an International Searching Authority.  26 ☐ Search Fee (37 CFR 1.455(s) (2)) has been paid on the international application to the USPTO as an International Searching Authority.  26 ☐ Search Fee (37 CFR 1.455(s) (2)) has been paid on the international application to the USPTO as an International Searching Authority.  27 ☐ Common Period	10/586.454 PCT/JP2005/000979		P30320			
Basic national fee					CALCULATIONS	PTO USE ONLY
24   Examination Feet   Secretary   Secr			300,00		\$ 0.00	
Article 23 (1)-(4)	24 Examination Fee				\$ 0.00	
Sarch fee   Sar	Article 33 (1)-(4)		DO	y provisions of PCT		
International Searching Authority	25 Search fee				\$ 0.00	
International Search Report prepared and provided to the Office				the USPTO as an		
Additional Fee for Specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250.00 for each additional 50 nor fraction thereof.  Total Sheets	International Search Report prepared and provided to the Office400.00					
sheets (excluding sequence listing or computer program listing filed in ciectronic medium). The fee is \$25.0.00 for each additional 50 for fraction thereof.  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof (round up to a whole number)  -1.00=	ТО	TAL 23, 24 and 25 =			\$ 0.00	
additional 50 or fraction thereof (round up to a whole number)   x \$250.00   \$ 0.00	sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250.00 for each additional 50 sheets of paper			·		
Taction thereof (round up to a whole number)   Total content of the content of	Total Sheets	Extra Sheets		RATE		
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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.27. The fees indicated above are reduced \$5.00 \$0.00			(round up to a whole	L. 13		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).  Claims Number Filed Number Extra Rate  Total Claims 7 - 20 = 0 x \$50.00 \$ 0.00  Independent Claims 2 - 3 = 0 x \$200.00 \$ 0.00  Multiple Dependent Claim(s) (if applicable) + \$360.00 \$ 0.00  Multiple Dependent Claim(s) (if applicable) + \$360.00 \$ 0.00  Multiple Dependent Claim small entity status. See 37 CFR 1.27. The fees indicated above are reduced by '8.  SUBTOTAL = \$65.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the carliest claimed priority date (37 CFR 1.492 (i)).  Extension of Time fee in the amount of \$ 50.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED = \$195.00  Amount to be refunded Charge at the amount of \$ 10.00 Amount to be refunded to Deposit Account No. in the amount of \$ 10.00 Amount to be refunded to Deposit Account No. 19-0089.  L Please charge my Deposit Account No. in the amount of \$ 10.00 Amount of 10.10 Amount of 1	100		number)	***		
months from the earliest claimed priority date (37 CFR 1.492(h)).  Claims Number Filed Number Extra Rate  Total Claims 7 - 20 = 0 x \$50.00 \$ 0.00  Independent Claims 2 3 = 0 x \$200.00 \$ 0.00  Multiple Dependent Claim(s) (if applicable)  TOTAL OF ABOVE CALCULATIONS = \$130.00  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  SUBTOTAL = \$65.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the carriest claimed priority date (37 CFR 1.492 (i)).  Extension of Time fee in the amount of \$ 50.00  Extension of Time fee in the amount of \$ 50.00  TOTAL NATIONAL FEE = \$195.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED = \$195.00  Amount to be refunded  Charged \$ a. △ A check in the amount of \$195.00 to cover the above fees is included.  b. ☐ Please charge my Deposit Account No in the amount of \$ to cover the above fees.  Credic ard information should not be included on this form. Provide credit car information and authorization and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO CUSTOMER NO. 07055  AT THE PRESENT ADDRESS OF:  Bruce H. Bernstein GREENBLUM & BERNSTEIN, P.L.C.  1950 Roland Clark Place Reston, VA 20191 (703) 716-1191			ration later than 20	x \$250.00		
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AT THE PRESENT ADDRESS OF:  Bruce H. Bernstein  GREENBLUM & BERNSTEIN, P.L.C.  1950 Roland Clark Place  Reston, VA 20191  (703) 716-1191  29,027		•••			Sour Mano	~ 12
GREENBLUM & BERNSTEIN, P.L.C.  1950 Roland Clark Place  Reston, VA 20191  (703) 716-1191  29,027				SIGNATURE		
1950 Roland Clark Place Reston, VA 20191 (703) 716-1191 29,027 42,970	Bruce H. Bernstein				•	
Reston, VA 20191 (703) 716-1191 29,027 42,970						
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		10586454			49,047	

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# P30320.P06

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Kozo NINOMIYA et al.

**Mail Stop PCT** 

Confirmation No. 4690

Appl. No.

10/586,454)

(National Stage of PCT/JP2005/000979)

I. A. Filed

January 26, 2005

For

MEDICAMENT FOR THERAPEUTIC TREATMENT OF PAIN

## **COVER LETTER**

# **Mail Stop PCT**

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop PCT
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

In order to comply with the requirements (copy enclosed) for the submission of an executed Declaration and English translation of the International Application set forth in the Notification mailed May 10, 2007 which sets a two-month period of response until July 10, 2007, Applicants hereby submit:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing
   Under 35 U.S.C. 371;
- an executed Declaration and Power of Attorney;
- a Preliminary Amendment;
- a Verified English Language Translation of the application as filed (16 pages with abstract, 1 sheet of paper copy of the sequence listing and 8 sheets of drawings);

P30320.P06

- Statement to Support Filing and Submission in Accordance with 37 C.F.R. § 1.821-1.825

with attached computer readable copy of the sequence listing and paper copy of the same

- a check in the amount of \$195.00 of which \$130.00 is payment for the verified English

language translation of the application as filed and \$65.00 is to cover the surcharge for

late filing of the Declaration.

The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees or

credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, he is invited to contact the undersigned at the

below-listed number.

Respectfully submitted,

Kozo NINOMIYA et al,

Bruce H. Bernstein

Reg. No. 29,027

July 10, 2007

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01/26/2004



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/586,454 Kozo Ninomiya P30320

INTERNATIONAL APPLICATION NO.

01/26/2005

PCT/JP05/00979

7055 GREENBLUM & BERNSTEIN, P.L.C. 1950 ROLAND CLARKE PLACE RESTON, VA 20191 I.A. FILING DATE PRIORITY DATE

CONFIRMATION NO. 4690 371 FORMALITIES LETTER

\*OC00000023776518\*

Date Mailed: 05/10/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 07/18/2006
- Copy of the International Search Report filed on 07/18/2006
- Oath or Declaration filed on 07/18/2006
- Request for Immediate Examination filed on 07/18/2006
- U.S. Basic National Fees filed on 07/18/2006
- Priority Documents filed on 07/18/2006
- Specification filed on 07/18/2006
- Claims filed on 07/18/2006
- Abstracts filed on 07/18/2006
- Drawings filed on 07/18/2006

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does

not comply with 37 CFR 1.497(a) and (b) in that it:

- is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$195 for a Small Entity:

- \$65 Surcharge.
- \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

TAMALA D HOLLAND

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### PART 1 - ATTORNEY/APPLICANT COPY

		<u>.</u>
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/586,454	PCT/JP05/00979	P30320

FORM PCT/DO/EO/905 (371 Formalities Notice)